

PROXY INSTRUCTIONS FOR FIRST TRUST DIVIDEND AND INCOME FUND (FAV)

Record date: August 5, 2016

Special Meeting Date: October 3, 2016

FORM 497: https://www.sec.gov/Archives/edgar/data/1552740/000144554616009956/fav_497.txt

Note: Bulldog Investors, LLC, the investment advisor for Special Opportunities Fund, Inc., intends to vote all other proxies for which it has discretionary voting authority for Proposal 1.

My (our) proxy voting instructions are as follows:

1. TO APPROVE AN AGREEMENT AND PLAN OF MERGER BY AND AMONG FAV, FIRST TRUST ETF VI, ON BEHALF OF FIRST TRUST HIGH INCOME ETF (FTHI), AND LIBERTY STREET MERGER SUB, LLC, A MASSACHUSETTS LIMITED LIABILITY COMPANY AND A WHOLLY-OWNED SUBSIDIARY OF FTHI (THE "MERGER SUB"), AND THE TRANSACTIONS IT CONTEMPLATES, INCLUDING THE MERGER OF FAV WITH AND INTO THE MERGER SUB, WITH SHARES OF FAV BEING CONVERTED INTO SHARES OF FTHI, AND THE SUBSEQUENT LIQUIDATION OF THE MERGER SUB (COLLECTIVELY, THE "MERGER")

FOR []

AGAINST []

ABSTAIN []

I certify that as of the above record date, I beneficially owned (or had voting authority for) _____ common shares of Special Opportunities Fund, Inc.

Name(s)/Signature(s)

Date

Phone Number

email

*Please complete, sign, and date this form and submit it no later than September 29, 2016 by email to proxyinstructions@bulldoginvestors.com or by fax to (201) 556-0097.