

PROXY INSTRUCTIONS FOR THE SWISS HELVETIA FUND (SWZ)

Record date: August 19, 2019

Meeting Date: October 3, 2019

DEF 14A: https://www.sec.gov/Archives/edgar/data/813623/000089418919005331/swz_def14a.htm

Note: Bulldog Investors, LLC, the investment advisor for Special Opportunities Fund, Inc., intends to vote all other proxies for which it has discretionary voting authority for each nominee and for each Proposal..

My (our) proxy voting instructions are as follows:

(INSTRUCTIONS: Mark voting instructions by placing an “x” in the appropriate [].)

1. ELECTION OF DIRECTORS.

[] MORITZ A. SELL [] WITHHOLD

[] RICHARD DAYAN [] WITHHOLD

2. TO APPROVE THE PROPOSED INVESTMENT ADVISORY AGREEMENT BETWEEN THE FUND AND BULLDOG INVESTORS, LLC.

FOR [] AGAINST [] ABSTAIN []

3. TO APPROVE THE REPLACEMENT OF THE FUND’S FUNDAMENTAL INVESTMENT OBJECTIVE WITH A NON-FUNDAMENTAL INVESTMENT OBJECTIVE OF PROVIDING TOTAL RETURN.

FOR [] AGAINST [] ABSTAIN []

4. TO APPROVE AMENDMENTS TO CERTAIN OF THE FUND’S FUNDAMENTAL INVESTMENT RESTRICTIONS, EACH TO BE VOTED ON SEPARATELY:

4.A TO EXCLUDE CLOSED-END FUNDS FROM THE FUND’S CONCENTRATION LIMIT.

FOR [] AGAINST [] ABSTAIN []

4.B TO PERMIT THE ISSUANCE BY THE FUND OF SENIOR SECURITIES SUBJECT TO THE LIMITATIONS OF THE INVESTMENT COMPANY ACT OF 1940, AS AMENDED, AND THE RULES AND REGULATIONS THERE.

FOR [] AGAINST [] ABSTAIN []

4.C TO PERMIT THE FUND TO MAKE LOANS SUBJECT TO APPROVAL BY THE FUND’S BOARD OF DIRECTORS.

FOR [] AGAINST [] ABSTAIN []

4.D TO PERMIT THE FUND TO BUY OR SELL COMMODITIES, COMMODITY CONTRACTS, FUTURES CONTRACTS, REAL ESTATE OR INTERESTS IN REAL ESTATE FOR HEDGING AND RISK MANAGEMENT PURPOSES AND SUBJECT TO APPROVAL OF THE FUND’S BOARD OF DIRECTORS AND TO ENGAGE IN TRANSACTIONS IN SECURITIES SECURED BY REAL ESTATE OR OF COMPANIES THAT INVEST IN REAL ESTATE.

FOR []

AGAINST []

ABSTAIN []

4.E TO PERMIT THE FUND TO MAKE SHORT SALES OF SECURITIES FOR HEDGING PURPOSES.

FOR []

AGAINST []

ABSTAIN []

5. TO RATIFY THE SELECTION BY THE FUND'S BOARD OF DIRECTORS OF TAIT, WELLER & BAKER, LLP AS THE FUND'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2019.

FOR []

AGAINST []

ABSTAIN []

I certify that as of the above record date, I beneficially owned (or had voting authority for) _____ common and _____ convertible preferred shares of Special Opportunities Fund, Inc.

Name(s)/Signature(s)

Date

Phone Number

email

*Please complete, sign, and date this form and submit it no later than September 30, 2019 by email to proxyinstructions@bulldoginvestors.com or by fax to (201) 556-0097.